# PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXA	AMINING AUTHORITY		·
To: JOHN K. HARROP DORSEY & WHITNEY LLP 1001 PENNSYLVANIA AVENUE N.W. SUITE 300 SOUTH WASHINGTON, D.C. 20004			PCT
			WRITTEN OPINION
William (101), B.C. 20004			(PCT Rule 66)
			\
		Date of Mailing (day/month/year)	05 SEP 2001
Applicant's or agent's file reference 5269.01		REPLY DUE within TWO months from the above date of mailing	
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)
PCT/US00/29809	27 OCTOBER 2000		27 OCTOBER 1999
International Patent Classification (IPC) IPC(7): H04N 7/173 and US Cl.: 72	or both national classific 5/87	ation and IPC	
Applicant DISCOVERY COMMUNICATIONS,	INC.		
1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.  2. This opinion contains indications relating to the following items:  I X Basis of the opinion  II Priority  III Non-establishment of opinion with regard to novelty, inventive step or industrial applicability  IV Lack of unity of invention  V X Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement  VI X Certain documents cited  VII Certain defects in the international application  VIII Certain observations on the international application  3. The applicant is hereby invited to reply to this opinion.  When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).  By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3.			
Also For an additional op For the examiner's of For an informal com	munication with the exa-	idments, see Rule 66.4 endments and/or arguminer, see Rule 66.6.	4. ments, see Rule 66.4 <i>bis</i> .
If no reply is filed, the internation	al preliminary examinati	on report will be estab	olished on the basis of this opinion.
<ol> <li>The final date by which the internati examination report must be establish</li> </ol>	onal preliminary ned according to Rule 69	.2 is: 27 FEBRUAR	Y 2002
Name and mailing address of the IPEA/U	IC	Authorized	
Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231		Authorized officer CHRISTOPHER	GRANT MALA
		T	03) 305-8755
orm PCT/IPEA/408 (cover sheet) (July 1998)+			

Form PCT/IPEA/408 (cover sheet) (July 1998)

9-12-01

International application No.

PCT/US00/29809

I. B	asis of	the opinion	
i. Wid	regard	to the elements of the international applicat	ion:*
X	the int	ernational application as originally fi	iled
X		scription:	
لنت .	pages		, as originally filed
		NONE	, filed with the demand
	pages	NONE	, filed with the letter of
េ	the cla	ime.	·
X	pages	00.100	, as originally filed
	pages		, as amended (together with any statement) under Article 19
	pages	1401TD	, filed with the demand
	pages	NONE , filed	with the letter of
Х	the dra	iwings:	
	pages		, as originally filed
	pages	2.2.2.2.2	, filed with the demand
	pages	NONE	, filed with the letter of
x	the sea	uence listing part of the description:	
		NONE NONE	, as originally filed
		NONE	, as originary fried
			, filed with the letter of
			onal application (under Rule 48.3(b)).  Surposes of international preliminary examination (under Rules 55.2 and/
3. Wit	or 55.3)		equence disclosed in the international application, the written opinion was
		e basis of the sequence listing:	
	contain	ed in the international application in	printed form.
	filed to	gether with the international applica	tion in computer readable form
片		ed subsequently to this Authority in	
片		ed subsequently to this Authority in	
님		•	ed written sequence listing does not go beyond the disclosure in the
	internat	ional application as filed has been tu	mished.
Ш	The stat	tement that the information recorded in mished.	computer readable form is identical to the writen sequence listing has
4. X	The an	nendments have resulted in the cance	ellation of:
	X t	ne description, pages NONE	_
	X u	ne claims, Nos. NONE	
		ne drawings, sheets/fig NONE	
5.	This op	inion has been drawn as if (some of) th I the disclosure as filed, as indicated in	the amendments had not been made, since they have been considered to go the Supplemental Box (Rule 70.2(c)).
		sheets which have been furnished to the rais as "originally filed".	receiving Office in response to an invitation under Article 14 are referred to

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V.	Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability;
	citations and explanations supporting such statement

#### 1. statement

Novelty (N)	Claims	1-37	YES
	Claims	38-79	NO
Inventive Step (IS)	Claims	1-37	YES
•	Claims	38-79	NO NO
Industrial Applicability (IA)	Claims	1-79	YES
mousdial Applicability (IA)	Claims	NONE	NO NO

### 2. citations and explanations

Claims 38-79 lack novelty under PCT Article 33(2) as being anticipated by Hendricks (WO 95 95649).

Considering claim 38, Hendricks discloses an apparatus for providing electronic books comprising:

- a) a main memory (600) (figure 6a, 8);
- b) a main interface that receives a request for a main section of an electronic book (see index, menu and/or pages of the book as disclosed on page 18, lines 18-20, figures 10-12);
- c) a main processor that locates the requested main section (628, figure 8).

Claims 38-50 are met by the various sections of the electronic book in figures 1-18b.

Considering claims 51 and 65, Hendricks discloses a computer-readable medium containing instructions for controlling an electronic book delivery system, comprising:

- a) storage module (1040, 1024);
- b) a broadcast module (channel modulators);
- c) an electronic book order module (page 24, line 25, figure 14b, 14d-14f and 14i) that receives and processes orders from the readers.

Claims 52-64 and 66-78 are met by the ordering procedures in association with electronic book stored at the operations center, cable station or library unit disclosed throughout the Hendricks document.

Considering claim 79, Hendricks discloses a user interface for ordering and receiving electronic books comprising:

- a) display window that displays an indication of available electronic books (figure 14c or 14e);
- b) a cost window (figure 14e);
- c) a delivery selection section (14e); and
- d) an order button (figures 14b or 14e, 14f, 14i, 14j)

(Continued on Supplemental Sheet.)

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VI.	Certain	documents	cited

1. Certain published documents (Rule 70.10)

Application No. Patent No.

**Publication Date** (day/month/ye Filing Date (day/month/ye

Priority date (valid claim) (day/month/year)

US, A. 6,034,680

US, A, 6,052,717

07 MARCH 2000 18 APRIL 2000

30 APRIL 1997 23 OCTOBER 1996

25 APRIL 1997

2. Non-written disclosures (Rule 70.9)

Kind of non-written disclosure

Date of non-written disclosure (day/month/year)

Date of written disclosure referring to non-written disclosure (day/month/year)

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Supp	lementa!	Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

#### TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.

# V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued):

Claims 1-37 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest a virtual on-demand electronic book system comprising a main memory, a queuing processor, first and second queues or a broadcast module, an electronic book ordering module, a packet assemble module, queue selection module and a queue service module as recited in the claims.

WO 95 15649 A (HENDRICKS et al.) 08 June 1995, whole document US 4,855,725 A (FERNANDEZ) 08 August 1989, figures 1-3